



**Calhoun: The NPS Institutional Archive**  
**DSpace Repository**

---

Faculty and Researchers

Faculty and Researchers' Publications

---

1990

# Learning to Learn: The Art of Doing Science and Engineering, Session 12:

Hamming, Richard W.

Monterey, California: Naval Postgraduate School

---

<http://hdl.handle.net/10945/59499>

---

This publication is a work of the U.S. Government as defined in Title 17, United States Code, Section 101. Copyright protection is not available for this work in the United States.

*Downloaded from NPS Archive: Calhoun*



Calhoun is the Naval Postgraduate School's public access digital repository for research materials and institutional publications created by the NPS community. Calhoun is named for Professor of Mathematics Guy K. Calhoun, NPS's first appointed -- and published -- scholarly author.

**Dudley Knox Library / Naval Postgraduate School**  
**411 Dyer Road / 1 University Circle**  
**Monterey, California USA 93943**

<http://www.nps.edu/library>

# Richard W. Hamming



## Learning to Learn

The Art of Doing Science and Engineering

## Session 12: Error-Correcting Codes

# How Great Things Are Done



**I invented error correcting codes.**

**You know they are important.**

**What follows is how it happened.**



# Great Scientists

## Feinman, Metropolis, Oppenheimer, Bohr, Teller and Farnam

- I met these people at Los Alamos
- There, as a “janitor of science,” I just kept the machines going
- What was the difference between them and me?
- Pasteur quote: “Luck favors the prepared mind.”
- Study successes not mistakes!

# Extreme Interest in Matters



**When you're up at bat, you think about hitting the ball. You don't think about how.**

- I can tell you what happened at the conscious level and a slight probing of the unconscious
- The great stuff comes from people who care and care passionately
- Many people are content to just do things well
- Some great scientists sterilize themselves by inventing a great idea, but then forever dwell on it



# How It Happened

**Two out of five code relay computer, circa 1947-48, could detect errors.**

**If it detected an error, it would try three times before dropping the problem to pick up the next.**

**Insight: if a machine can find out if there is an error, why can't it find out where it is?**



# Error Correction

## Brute force method:

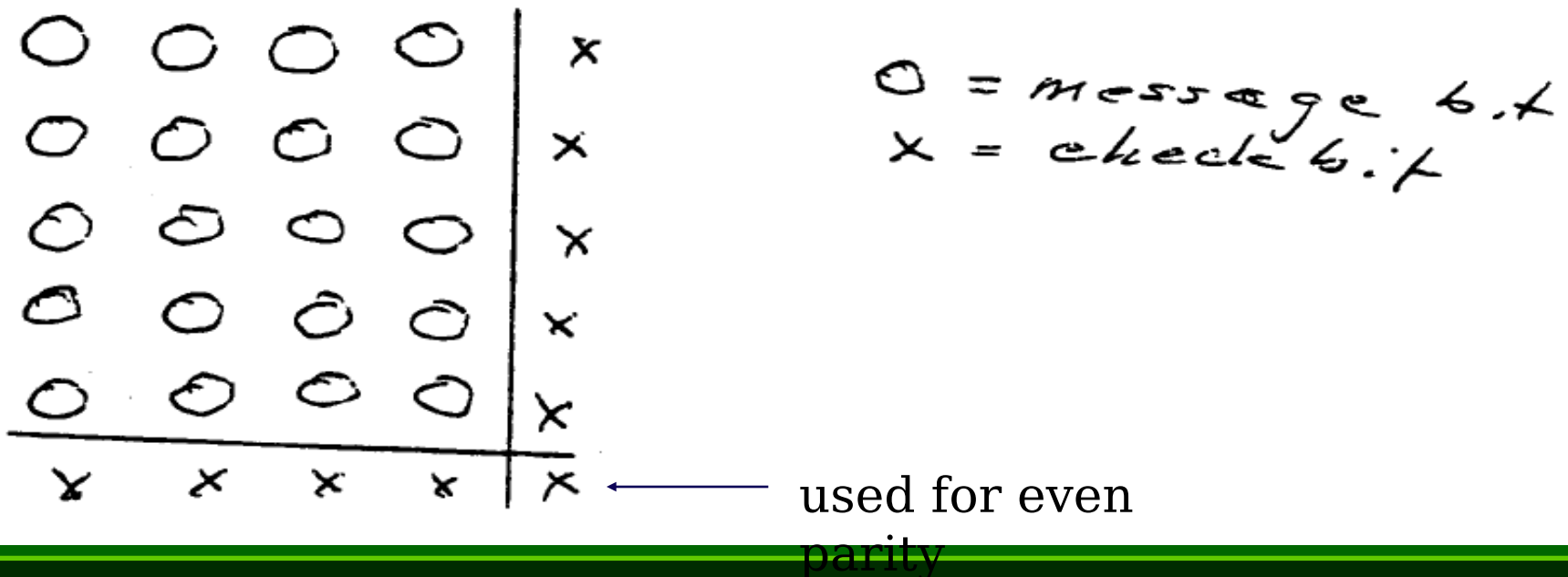
- Build three machines
- Build inter-comparing circuits
- Take the majority vote
- Not really feasible, too expensive!

**A better method: parity checks.**



# Rectangular Form

Arrange the message bits of any message symbol in a rectangle. A single error will divulge its (row, column) coordinate.







# Redundancy Ratio

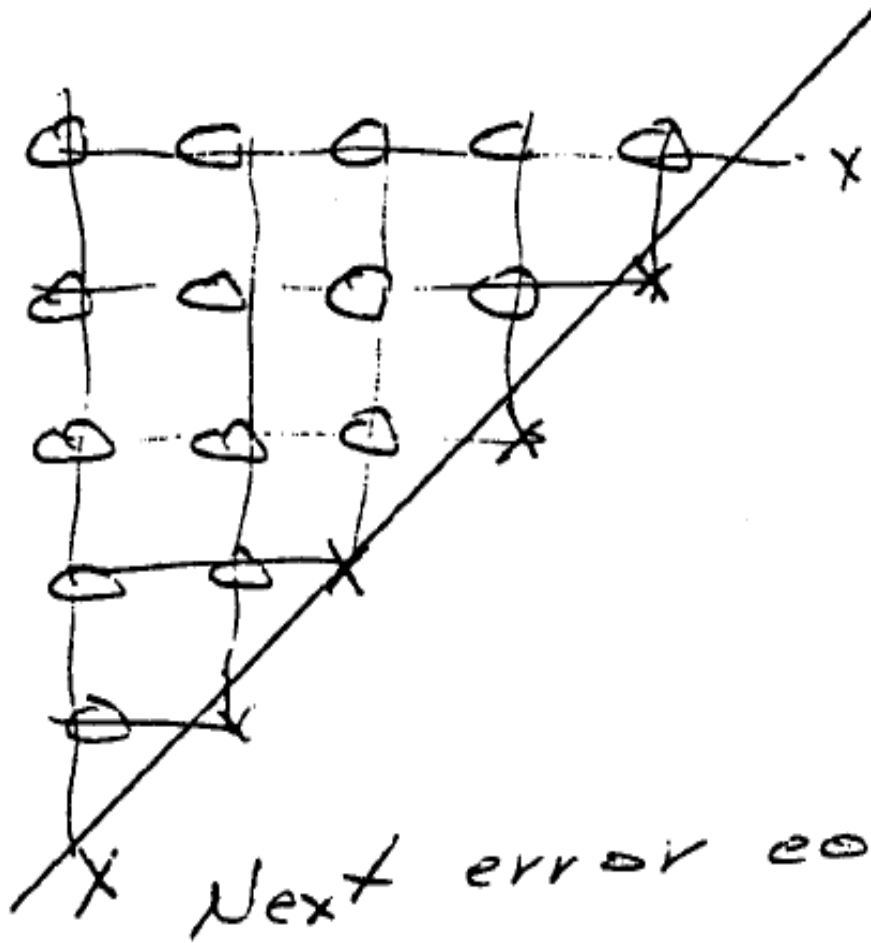
**The closer the rectangle is to a square, the lower the redundancy for the same amount of message.**

$$R = \frac{mn}{(m-1)(n-1)}$$
$$= 1 + \frac{1}{(m-1)} + \frac{1}{(n-1)} + \frac{1}{(m-1)(n-1)}$$

**However, there is a risk of double error!  
Exercise your engineering judgment.**



# A Better Form - Triangular



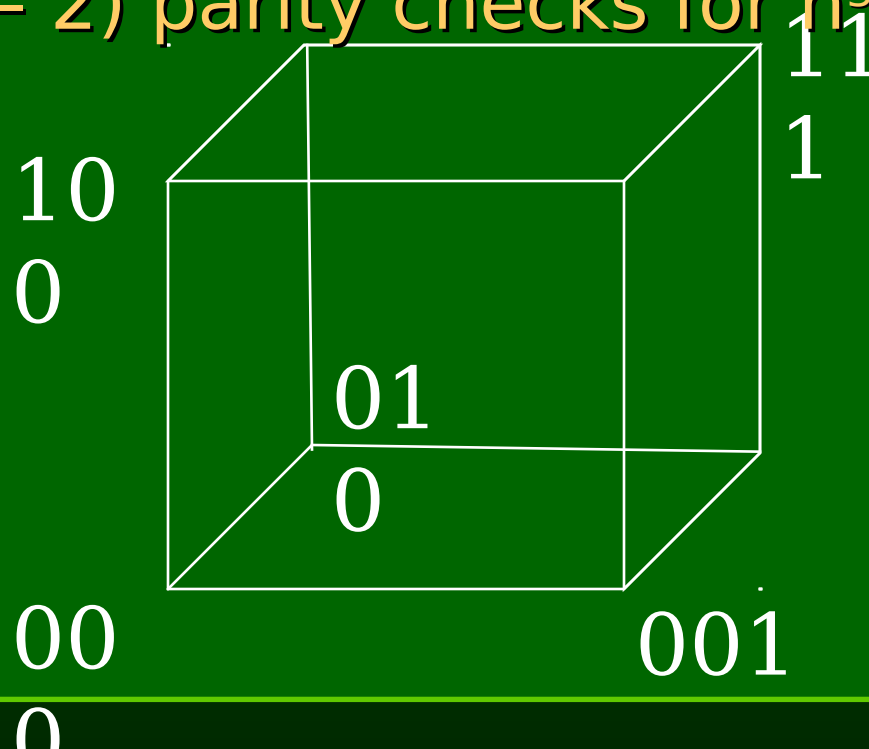
Next error correcting codes



# A Cube of Bits

Parity checks across entire planes and parity check on all three axes can provide coordinates of error.

Cost:  $(3n - 2)$  parity checks for  $n^3$  encoded message.



# n Dimensions



## Review Lecture 9

- No need to build  $n$  dimensions, just wire it that way
- An  $n$ -dimensional cube will have  $(n + 1)$  parity checks
- $(n + 1)$  parity checks represent  $2^{n+1}$  different things
- Need only  $2^n$  points in a cube plus 1 result that the message is correct
- This will be off by a factor of 2!



# Syndrome

**Have the syndrome of the error name  
the place of the error - a binary number.**

1	→	1
2	→	10
3		11
4	→	100
5		101
6		110
7		111
8	→	1000
9		1001

Parity check #1	1, 3, 5, 7, 9, 11, 13, 15, ...
Parity check #2	2, 3, 6, 7, 10, 11, 14, 15, ...
Parity check #3	4, 5, 6, 7, 12, 13, 14, 15, ...
Parity check #4	8, 9, 10, 11, 12, 13, 14, 15, ...
	etc.

**Parity check bits  
involve ones in the  
position of the check.**

# Checking the Syndrome Approach



An even parity example check of 4 message and 3 check positions must satisfy the condition

$$2^3 \geq 4 + 1$$

1	2	3	4	5	6	7	position
<hr/>							
		1		0	0	1	message
<hr/>							
0	0	1	1	0	0	1	encoded message
<hr/>							
0	0	1	1	0	1	1	message with error



# Apply Parity Checks

0      0      1      1      0      1      1      message with error

1                      3                      5                      7 → 0

                    2      3                                      6      7 → 1

                                    4      5      6      7 → 1

Binary number 110 →

6

**This result locates the error. Flip 6<sup>th</sup> bit, strip out check bits, reagain**

# Single Error Correct, Double Detect



## Add a single new parity check over the whole message

- Single-error correct (SEC) double-error detect (DED) is a good balance
- For short message, redundancy of 4 message and four check bits, bad
- If message is too long, you risk double uncorrectable error: SEC/DED will mistakenly overcorrect into a third error!





# Working in L1 Space

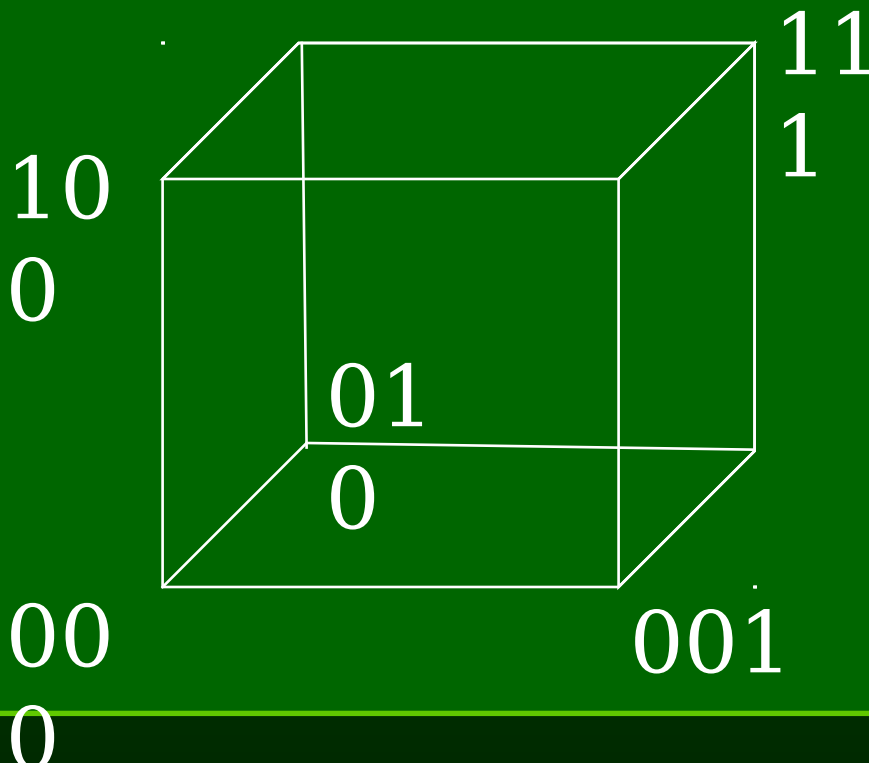
The conventional conditions on a metric  $D(x,y)$  between two points  $x$  and  $y$  are:

1.  $D(x,y) \geq 0$  (non negative)
2.  $D(x,y) = 0$  if and only if  $x = y$  (Identity)
3.  $D(x,y) = D(y,x)$  (symmetry)
4.  $D(x,y) + D(y,z) \geq D(x,z)$  (triangle inequality)



# A Cube of Bits (Revisited)

Vertices are fixed at 1 unit, 2 units and 3 units away from the origin



min. distance	meaning
1	unique decoding
2	single error detecting
3	single error correcting
4	1 error correct and 2 error detect
5	double error correcting
$2k + 1$	$k$ error correction
$2k + 2$	$k$ error correction and $k+1$ error detection

# Higher Minimum Distance Codes



$$\frac{2^n}{1 + C(n, 1) + C(n, 2) + \dots + C(n, k)} \quad \text{# of spheres}$$

**k = sphere radius**

**C(n, k) = # of points in a sphere of radius k**

**$2^n$  = whole space**

**This quotient represents the upper bound on the number of non-overlapping spheres and code points in**

# Finding an Error Correcting Code



**Same as finding a set of code points in the  $n$ -dimensional space that has the required minimum distance between legal messages.**

- Minimum distance function is both necessary and sufficient
- Some error correction can be exchanged for more detection, i.e. give up one error correction and get two more in error detection



# **Why Error Correction?**

**Space vehicles operating on possibly as low as 5 watts can have hundreds of errors in a single block of message.**

**When you are not prepared to overcome “noise” or “deliberate jamming” then such codes are the only known answer.**